

**MOTOROLA**

04/10

O I P E

MAR 28 2002

**Intellectual Property Section
Law Department****FAX COVER SHEET**Date: 3/28/02To: USPTO
(Addressee's Name)

(Extension)

(Location)703-746-4060
(Fax Number)From: Ken Haas
(Sender's Name)847-576-6364
(Extension)TOTAL NUMBER OF PAGE(S) 8 (INCLUDING THIS PAGE)

SP 09/942, 010

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Corporate Offices**1303 E. Algonquin Road
Fax No. (847) 576-3750****Schaumburg, IL 60196**



MOTOROLA INC

Page 1 of 1

KATH

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS
 Washington, D.C. 20231
www.uspto.gov

Application Number	Filing Date	First Named Applicant	Arty. Docket No.
09/942,010	08/29/2001	Messerges, Thomas	CR00286M

22917

MOTOROLA, INC.
 1303 EAST ALGONQUIN ROAD
 IL01/3RD
 SCHAUMBURG IL 60196

MAR 28 2002

Title: System and method for secure and convenient management of digital electronic content

Date Mailed: 03/18/2002

Request for Substitute Papers

1/16/02

The papers filed on 01/02/02 are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated 703-746-4060(OIPE).

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO strongly prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely reply to this notice within two (2) weeks of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. A copy of this notice should be included with the reply.

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: KENNETH A. HAAS Registration No.: 42,614
 Signature: KH Date: 3/18/02

Mar-28-2002 11:37

From-MOTOROLA IPD

847-576-3750

T-488 P.003/008 F-002

OIP/E

MAR 28 2002

"The date stamp hereon is an acknowledgment that on the date stamped,
the U.S. Patent and Trademark Office received the following."

Docket No.:	CR00286M	Mail Date:	8/29/2001
Applicant:	MESSERGES ET AL	Application No.	09/942,010
Entitled:	A SYSTEM AND METHOD FOR SECURE AND CONVENIENT MANAGEMENT OF DIGITAL ELECTRONIC CONTENT		
DWJ/kek	Paid by Deposit Account #13-4772 \$40.00		
All items marked with an "X" are included in First Class Mail Package.			
Pages	Description		
1	X Return Receipt Postcard		
1	X Response to Notice of Non-Recordation of Documents		
1	X Fee Transmittal - 2 copies		
1	X Transmittal Form		
	X Executed Declaration		
5	X Executed Assignment and Agreement with Recordation Sheet		
	Information Disclosure Statement		
	Form PTO/SB/08 w/ prior art references (# of references)		

PTO/SB/21 (08-00)

TRANSMITTAL FORM (to be used for all correspondence after initial filing) Total Number of Pages in this Submission 16		Application Number	09/942,010
		Filing Date	08/29/2001
		First Named Inventor	THOMAS S. MESSERGES
		Group Art Unit	2131
		Examiner Name	Unknown

MAR 28 2002

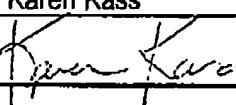
ENCLOSURES			(check all that apply)
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Preliminary Amendment <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Declaration(s) <input type="checkbox"/> Extension of time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-Related papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CDs		<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter with appropriate copies <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below) <input type="checkbox"/> page Declaration Combined with Power of Attorney <input checked="" type="checkbox"/> <u>Recordation Form Cover Sheet</u>
Remarks			

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual	DANIEL W. JUFFERNBRUCH		Registration No.	33,122
Signature				
Date	1/16/02			

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage thereon, as first-class mail, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on the date listed below:

Typed or printed name	Karen Kass		
Signature			
	Date	1/16/02	

PTO/SB/17 (11-00)				Comp. if Known																																																																																																																																																																																																																																																			
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<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:</p> <p>Deposit Account Number 13-4772</p> <p>Deposit Account Name Motorola, Inc.</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>				<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th colspan="2">Fee Description</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td colspan="2">Surcharge - late filing fee or oath</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td colspan="2">Surcharge - late Provisional filing</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td colspan="2">Non-English specification</td></tr> <tr><td>147</td><td>2520</td><td>147</td><td>2520</td><td colspan="2">For filing a request for ex parte Reexamination</td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td colspan="2">Requesting publication of SIR prior to Examiner action</td></tr> <tr><td>113</td><td>1840*</td><td>113</td><td>1840*</td><td colspan="2">Requesting publication of SIR after Examiner action</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>65</td><td colspan="2">Extension for reply within first month</td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td colspan="2">Extension for reply within second month</td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td colspan="2">Extension for reply within third month</td></tr> <tr><td>118</td><td>1390</td><td>218</td><td>695</td><td colspan="2">Extension for reply within fourth month</td></tr> <tr><td>128</td><td>1890</td><td>228</td><td>945</td><td colspan="2">Extension for reply within fifth month</td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td colspan="2">Notice of Appeal</td></tr> <tr><td>120</td><td>310</td><td>220</td><td>165</td><td colspan="2">Filing a brief in support of an appeal</td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td colspan="2">Request for oral hearing</td></tr> <tr><td>138</td><td>1510</td><td>138</td><td>1510</td><td colspan="2">Petition to institute a public use proceeding</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td colspan="2">Petition to revive - unavoidable</td></tr> <tr><td>141</td><td>1240</td><td>241</td><td>620</td><td colspan="2">Petition to revive - unintentional</td></tr> <tr><td>142</td><td>1240</td><td>242</td><td>620</td><td colspan="2">Utility issue fee (or reissue)</td></tr> <tr><td>143</td><td>440</td><td>243</td><td>220</td><td colspan="2">Design issue fee</td></tr> <tr><td>144</td><td>600</td><td>244</td><td>300</td><td colspan="2">Plant issue fee</td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td colspan="2">Petitions to the Commissioner</td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td colspan="2">Processing fee under 37 CFR 1.17(d)</td></tr> <tr><td>128</td><td>180</td><td>125</td><td>180</td><td colspan="2">Submission of IDS</td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td colspan="2">Recording each patent assignment per property (times number of properties)</td></tr> <tr><td>146</td><td>710</td><td>246</td><td>355</td><td colspan="2">Filing a submission after final rejection (37 CFR § 1.129(a))</td></tr> <tr><td>149</td><td>710</td><td>249</td><td>355</td><td colspan="2">For each additional invention to be examined (37 CFR § 1.129(b))</td></tr> <tr><td>179</td><td>710</td><td>279</td><td>355</td><td colspan="2">Request for Continued Examination (RCE)</td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900</td><td colspan="2">Request for expedited examination of a design application</td></tr> <tr> <td colspan="4"> Total Claims 20 = 20 Independent 3 = 3 Claims Multiple Dependent 270 = 270 </td> <td colspan="4"> Extra Claims 18 Fee from Below 18 Fee Paid 18 80 270 270 </td> </tr> <tr> <td colspan="4"> Large Entity Fee Code (\$) 103 18 203 9 102 80 202 40 104 270 204 135 109 80 209 40 110 18 210 9 </td> <td colspan="4"> Small Entity Fee Code (\$) 103 18 9 9 102 80 40 40 104 270 135 135 109 80 40 40 110 18 9 9 </td> </tr> <tr> <td colspan="4"> Fee Description Claims in excess of 20 Independent claims in excess of 3 Multiple dependent claim, if not paid ** Reissue independent claims Over original patent ** Reissue claims in excess of 20 and over original patent </td> <td colspan="4"></td> </tr> <tr> <td colspan="4">SUBTOTAL (1) (\$ 40.00)</td> <td colspan="4">SUBTOTAL (3) (\$ 40.00)</td> </tr> <tr> <td colspan="4">* OR NUMBER PREVIOUSLY PAID, IF GREATER. For Reissues, see above</td> <td colspan="4">SUBMITTED BY</td> </tr> <tr> <td colspan="4">SUBMITTED BY</td> <td colspan="4">Complete (if applicable)</td> </tr> <tr> <td>Name (Print/Type)</td> <td colspan="3">DANIEL W. JUFFERNBRUCH</td> <td>Registration No.</td> <td>33,122</td> <td>Telephone</td> <td>847-538-3129</td> </tr> <tr> <td>Signature</td> <td colspan="3"><i>DANIEL W. JUFFERNBRUCH</i></td> <td>Mail Date</td> <td colspan="3">1/14/02</td> </tr> </tbody></table>				Large Entity	Small Entity	Fee Description		Fee Code	Fee (\$)	Fee Code	Fee (\$)	105	130	205	65	Surcharge - late filing fee or oath		127	50	227	25	Surcharge - late Provisional filing		139	130	139	130	Non-English specification		147	2520	147	2520	For filing a request for ex parte Reexamination		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1840*	113	1840*	Requesting publication of SIR after Examiner action		115	110	215	65	Extension for reply within first month		116	390	216	195	Extension for reply within second month		117	890	217	445	Extension for reply within third month		118	1390	218	695	Extension for reply within fourth month		128	1890	228	945	Extension for reply within fifth month		119	310	219	155	Notice of Appeal		120	310	220	165	Filing a brief in support of an appeal		121	270	221	135	Request for oral hearing		138	1510	138	1510	Petition to institute a public use proceeding		140	110	240	55	Petition to revive - unavoidable		141	1240	241	620	Petition to revive - unintentional		142	1240	242	620	Utility issue fee (or reissue)		143	440	243	220	Design issue fee		144	600	244	300	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Processing fee under 37 CFR 1.17(d)		128	180	125	180	Submission of IDS		581	40	581	40	Recording each patent assignment per property (times number of properties)		146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))		149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))		179	710	279	355	Request for Continued Examination (RCE)		169	900	169	900	Request for expedited examination of a design application		Total Claims 20 = 20 Independent 3 = 3 Claims Multiple Dependent 270 = 270				Extra Claims 18 Fee from Below 18 Fee Paid 18 80 270 270				Large Entity Fee Code (\$) 103 18 203 9 102 80 202 40 104 270 204 135 109 80 209 40 110 18 210 9				Small Entity Fee Code (\$) 103 18 9 9 102 80 40 40 104 270 135 135 109 80 40 40 110 18 9 9				Fee Description Claims in excess of 20 Independent claims in excess of 3 Multiple dependent claim, if not paid ** Reissue independent claims Over original patent ** Reissue claims in excess of 20 and over original patent								SUBTOTAL (1) (\$ 40.00)				SUBTOTAL (3) (\$ 40.00)				* OR NUMBER PREVIOUSLY PAID, IF GREATER. 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CR00286M

DOCKET NO.: CR00286M

UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): THOMAS S. MESSERGES

APPLICATION NO.: 09/942,010

FILED: 08/29/2001

GROUP ART UNIT: 2131

TITLE: SYSTEM AND METHOD FOR SECURE AND CONVENIENT
MANAGEMENT OF DIGITAL ELECTRONIC CONTENTOIPS
MAR 28 2002

Certificate of Mailing

Date of deposit: 11/16/02

I hereby certify that this paper is being deposited with the United States Postal Service on the date indicated above, as first-class mail, with sufficient postage attached thereto, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C.

Karen Kass
Signature of Person Mailing PaperKaren Kass
Printed Name of Person Mailing PaperAssistant Commissioner for Patents
Washington, D.C. 20231Attention: Customer Service Center
Initial Patent Examination DivisionRESPONSE TO NOTICE OF NON-RECORDATION OF DOCUMENTS

Sir:

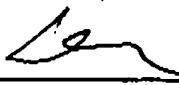
Responsive to the Notice to File Missing Parts of Application dated 01/03/2002, please find enclosed:

_____ page Declaration Combined with Power of Attorney
 1 _____ page copy of Notice of Non-Recordation of Documents
 1 _____ Recordation Form Cover Sheet
 4 _____ pages executed Assignment

The Commissioner is hereby authorized to charge all fees due to Account No. 13-4772. A fee transmittal is enclosed.

Respectfully submitted,

SEND CORRESPONDENCE TO:

By: 
 DANIEL W. JUFFERNBRUCH
 ATTORNEY of Record
 Reg. No.: 33,122
 Telephone: 847-538-3129
 Fax No.: 847-576-3750

Form: PTO-1595

RECORDATION FORM COVER SHEET
PATENTS ONLY

DOCKET NUMBER: CR00286M

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

THOMAS S. MESSERGES
EZZAT A. DABBISH
LARRY PUHL
DEAN VOGLER

2. Name and address of receiving party(ies)

Name: Motorola, Inc.Internal Address: Law DepartmentAdditional name(s) of conveying party(ies) Yes No attached?

3. Name of conveyance:

 Assignment Merger Security Agreement Change of Name OtherExecution Date: 08/30/2001 and 09/04/01Street Address: 1303 East Algonquin RoadCity: Schaumburg State: IL Zip: 60196Additional name(s) & address(es) attached? Yes No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is _____.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: MOTOROLA, INC.Internal Address: 1303 E. Algonquin Road6. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) \$40.00 Enclosed Authorized to be charged to deposit account8. Deposit account number: 13-4772

(Attach duplicate copy of this page if paying by deposit account.)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

DANIEL W. JUFFERNBRUCH

Name of Person Signing

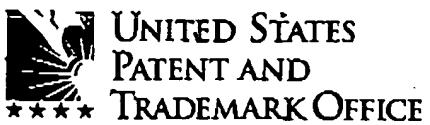
Signature

1/16/02-8/29/2001

Date

Total number of pages including cover sheet, attachments, and documents: 7

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments, Washington, D.C. 20231



MOTOROLA, INC.

JAN 14 2002

PATENT DOCKET
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Messengers

JANUARY 03, 2002

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101885653A

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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF NON-RECORDATION OF DOCUMENT

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DOCUMENT ID NO.: 101885653

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Date April 2, 2002

To Commissioner for Patents
Washington DC 20231
Telephone: 703-308-1202

Facsimile number 11926-12700001 / 703-746-4060

From Dawn M. Corey
US Patent Paralegal

Re Umbrella SNPs CIP16 (0004.CIP16)
Application Number: 09/881,843
Filed: June 14, 2001
CONF. # 6608
Our Ref.: 11926-127001

Number of pages
including this page

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Message The purpose of this facsimile is to request a copy of the Official Filing Receipt and
Notice to File Missing Parts dated February 1, 2002 be faxed to the attention of
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Your prompt response to this request is much appreciated.
Thank you for your assistance.

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Date April 2, 2002

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Washington DC 20231
Telephone: 703-308-1202

Facsimile number 11926-13700001 / 703-746-4060

From Dawn M. Corey
US Patent Paralegal

Re Umbrella SNPs CIP17 (0004.CIP17)
Application Number: 09/948,154
Filed: September 6, 2001
CONF. # 6608
Our Ref.: 11926-137001

Number of pages
including this page 1

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